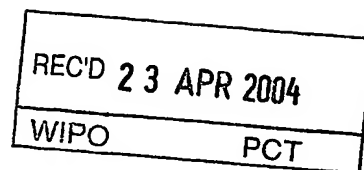




KONGERIKET NORGE  
The Kingdom of Norway

PCT/NO 04 / 00074



Bekreftelse på patentsøknad nr  
*Certification of patent application no*

▽  
**2003 1276**

▷ Det bekreftes herved at vedheftede dokument er nøyaktig utskrift/kopi av ovennevnte søknad, som opprinnelig inngitt 2003.03.19

▷ *It is hereby certified that the annexed document is a true copy of the above-mentioned application, as originally filed on 2003.03.19*

2004.03.30

*Line Reum*

Line Reum  
Saksbehandler



**PRIORITY DOCUMENT**  
SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH  
RULE 17.1(a) OR (b)

PATENTSTYRET

03-03-19\*20031276

1C

Applicant : Norsk Hydro ASA  
N-0240 Oslo

Fullmektig : André Berg  
Norsk Hydro ASA  
N-0240 Oslo

Inventors : Xiao-Jun Jiang  
Tujavegen 13  
N-5535 Haugesund  
  
Morten Syslak  
Stølsmyrveien 95  
N-5542 Karmsund

Title : "A method for producing aluminium alloy sheet  
material and an aluminium alloy sheet"

P03001

Controlled atmosphere brazing (CAB) relies on a flux to react with and remove the aluminium oxide. Fluoride-based fluxes, e.g. a mixture of potassium tetrafluoroaluminate and hexafluorotripotassium aluminate, are advantageous since they leave no corrosive residues.

The durability of a brazed aluminium heat exchanger in a corrosive environment is dependent on the inherent corrosion performance of each component (header, fin, tube) and their relative electrochemical behaviour. It is common practice to tailor the fin/fin-cladding and header/header-cladding in such a way that these components and the fillers become sacrificial to the tube. Furthermore, the inherent corrosion performance of each component is mainly described by the tendency and degree of pitting corrosion.

It is known that the severity of pitting corrosion in an aluminium alloy to some degree is dependent on the type of primary particle constituents and the relative electrochemical potential difference between particles and matrix. The electrochemical potential of primary particles is again dependent on the particle constituents. Iron (Fe) is a common impurity in aluminium alloys and present increase in degree of recycling of aluminium material results in relatively high iron contents. The solubility of Fe in aluminium is very low, in the order of 0.05% by weight at 655°C (Polmear 1). Thus, most of the Fe will be present in the form of particulate precipitates. The electrochemical potential of Fe is very cathodic compared to aluminium. It is known that the electrochemical potential of Fe-based Fe-bearing particles can be made more anodic by additions of e.g. manganese, and thus the potential difference between the aluminium matrix and the particles can be reduced. It has been demonstrated that such Mn additions have had an effect on improving the pitting corrosion of an aluminium alloy.

It has been found that the inherent corrosion performance expressed by the tendency for pitting corrosion is even more dependent on the physical size of the primary particle constituents. Whereas manganese additions aiming towards leveling of the corrosion potential have increased time to perforation in SWAAT

from 2-4 days to 6-8 days, controlling the particle size will give up from 20 days - indefinite life in SWAAT.

It is therefore an object of the present invention to provide a novel method of production of Al-alloy sheets applicable on heat exchanger sheet based components resulting in improved pitting corrosion applying (base) Al-alloy material with higher Fe-content.

This and other objects of the present invention are achieved by provision of a novel production method as claimed in the attached claim 1 and the resulting Al-alloy sheet in claim 7. Embodiments of the method is further defined in dependent claims 2 - 6, while further embodiments of the alloy sheet are defined in dependent claims 8 -11.

The invention will now be described in details as illustrated by way of examples and results from SWAAT tests conducted on Al-sheet samples provided by the novel production method compared to the reference samples as illustrated in the attached Figures 1-5, where

- Fig. 1 shows a diagram of particle size distribution in the Al-sheets prior to brazing,
- Fig. 2 is a corresponding diagram showing particle distribution after brazing,
- Fig. 3 is a scanning electron microscopic image of the particles in the strip cast material according to the present invention after brazing, and
- Fig. 4 is the reference scanning electron microscopic image of the particles in hot rolled material after brazing.
- Fig. 5 is the simulated brazing cycle utilised for the testing materials.

The present production of aluminium sheets provided with a braze cladding material comprises steps of;

- casting of rolling ingot followed optionally by homogenizing,
- application of braze cladding material to ingot,
- preheating of rolling ingot to a range from 500 to 600°C,
- hot rolling of the clad ingot, and finally
- cold rolling to the specified gauge sheet.

In addition to low solidification rate, also the prolonged exposure of ingots to elevated temperatures during the hot rolling operation results in segregation of alloying elements, coarse primary particles and dispersoids. In particular this applies for the size of Fe-bearing primary particles being controlled by solidification rate.

According to the present invention an inherent characteristic of continuous strip casting process, namely cooling rate and thus solidification rate of the cast sheets being of several orders of magnitude higher compared to commercial DC cast and hot rolled ingot material, has been applied in the novel method of production.

The novel production process comprises steps of;

- continuous strip casting of an Al-alloy sheet at predetermined solidification rate, in a range from  $10^2$  to  $10^3$  °C/sec, and
- cold rolling of the cast sheet optionally followed by annealing.

In general, unclad fin material is applied to welded tube which is clad with Al-Si braze metal. After brazing, residual cladding will in some way protect the tube core from corrosion attack. By providing a flux retaining coating on the said continuous cast sheet surface, especially if the flux is (reactive) fluoride-based flux, it is possible to utilize the improved corrosion resistance of continuous strip cast sheets in manufacturing of heat exchanger components with further improved

corrosion resistance. In this case, at least one of the flat surfaces of the said continuous cast sheet is coated with a reactive flux retaining coating capable of providing joints in a brazing process, characterized in that the flat surface at least partially is coated with a flux retaining composition comprising a synthetic resin based, as its main constituent, on methacrylate homopolymer or a methacrylate copolymer.

### Example

It has been demonstrated that by controlling the solidification rate during strip casting and thus producing average primary particles essentially below  $1 \mu\text{m}^2$ <sup>1</sup> and finer distribution of especially iron rich particles, the potential harmful cathodic effect of such particles is substantially reduced.

The Al-alloy AA 3003 has been strip cast according to the method of the present invention at a cooling rate in a range from  $10^2$  to  $10^3$  °C/sec to Al-sheets at 4.5 mm thick and then cold rolled to 60  $\mu\text{m}$  with optional inter-annealing during cold rolling. The 4.5 mm thick strip was cold rolled to 0.58 mm followed by an intermediate annealing. The annealing was undertaken in an air furnace by heating from room temperature to 340°C at 30°C/hour and soaking at 340°C for 3 hours. After cooling from 340°C to 200°C at 50°C/hour, the material was cooled in air. After annealing, the material was further cold rolled to 60  $\mu\text{m}$ .

An identical alloy was applied to provide reference sheets produced by DC casting of the rolling ingot followed by hot rolling and cold rolling of the ingot to the identical gauge with typical commercial inter-annealing processes during cold rolling.

Fig. 1 shows the particle size and distribution of the fin materials before brazing.

<sup>1</sup> The particle size is measured by SEM backscatter image analysis which is a two dimensional method. The particles are however found to be equiaxed meaning that a particle with an area of  $1 \mu\text{m}^2$  has a corresponding volume of  $1 \mu\text{m}^3$ , i.e.:  $\text{Volume}=(\sqrt{\text{Area}})^3$ .

Fig. 2 shows the particle size distribution of the fin material after brazing. The chemical composition of the alloys is given in Table 1. The number density of particles in the materials before and after brazing is listed in Table 2.

Alloy	Si	Fe	Cu	Mn	Mg	Zn	Ti
Strip cast 3003	0.1122	0.4055	0.0651	1.0560	0.00382	0.0103	0.0103
Hot rolled 3003	0.1	0.4	0.07	1.1		0.02	

**Table 1**

Alloy	Condition	Particle number	Area measured ( $\mu\text{m}^2$ )	Number density ( $\times 10^4/\text{mm}^2$ )
Fin stock from strip cast AA 3003	Pre-braze	637	5761.15	11.06
	Post-braze	986	5761.15	17.11
Fin stock from hot rolled AA 3003	Pre-braze	353	5761.15	6.13
	Post-braze	256	5761.15	4.44

**Table 2**

Fig. 3 is the scanning electron microscopic back scattering image of the particles in the strip cast fin material after brazing.

Fig. 4 is the scanning electron microscopic back scattering image of the particles in the hot rolled fin material after brazing.

Fig. 5 is the simulated brazing cycle utilised for the testing materials.

It is well known that the pitting corrosion in 3xxx series alloys is prone to initiate from coarse Fe-bearing particles. When increasing the size of the Fe-bearing particles the cathodic area will be increased in the alloy. As a consequence, the rate of pitting corrosion will be increased in the vicinity of the Fe-bearing particles. This is detrimental to the corrosion performance of the alloy.

In general, as seen in Figs. 3 and 4, there are two types of Fe-bearing particles in AA 3003 alloys. One is  $Al_6(Fe,Mn)$  exhibiting coarser particles and another one is  $\alpha-AlMnFeSi$  (fine particles). From the results in the attachment, it can be seen that the strip cast fin material contains dense fine particles of two types before and after brazing, and very few particles are larger than  $1\mu m^2$ . However, the particles in the hot rolled fin material have bimodal character consisting of small dispersoids of  $\alpha-AlMnFeSi$  and coarse particles of  $Al_6(Fe,Mn)$  (typical size larger than  $5\mu m^2$ ) before and after brazing. After brazing, the number density of the particles in the strip cast material is about four times as that in the hot rolled material (see Table 1). So, the size and distribution of the Fe-bearing particles in the fin alloy can be controlled and modified through continuous strip casting followed by proper processing. This is very beneficial for fin alloys in terms of corrosion performance.

Furthermore, as it has been mentioned previously that by provision of a flux retaining coating on such provided cast sheet surface, especially if the flux is (reactive) fluoride-based flux, it is possible to utilize the improved corrosion resistance of strip cast sheets in manufacturing of heat exchanger components. One example is that the continuous strip cast sheet can be used as tube to combine with clad fin material in a heat exchanger; in this case, at least one of the flat surfaces of the said continuous cast sheet is coated with a reactive flux or a normal flux. Another example is that the continuous strip cast sheet can be used as header in a heat exchanger; in this case, at least one of the flat surfaces of the said continuous cast sheet is coated with Al-Si powders.





### Claims

1. A method of producing aluminium alloy sheet material,  
**characterised in the following steps;**
  - continuous strip casting of a sheet at a predetermined solidification rate ensuring material microstructure exhibiting primary particles having average size below 1 micrometer<sup>2</sup>, and
  - (cold) rolling of the strip cast sheet to an appropriate gauge with optionally intermediate annealing during the cold rolling.
2. Method according to claim 1,  
**characterised in that**  
the sheets are further annealed during cold rolling.
3. Method according to claims 1 and 2,  
**characterised in that**  
the alloy is cast to 4.5 mm thick strip and cold rolled to 0.58 mm followed by an intermediate annealing.
4. Method according to claims 1-3,  
**characterised in that**  
the intermediate annealing was undertaken in an air furnace by heating from room temperature to 340°C at 30°C/hour and soaking at 340°C for 3 hours.
5. Method according to claims 1-4  
**characterised in that**  
after cooling from 340°C to 200°C at 50°C/hour, the material was cooled in air.

6. Method according to claims 2-5  
**characterised in that**  
after annealing, the material was further cold rolled to 60  $\mu\text{m}$ .
7. An aluminium alloy sheet,  
**characterised in that**  
its material microstructure exhibits primary particles having average size below 1 micrometer<sup>2</sup>.
8. Aluminium alloy sheet according to claim 7,  
**characterised in that**  
the primary particles are iron-enriched particles ensuring improved pitting corrosion resistance.
9. Aluminium alloy sheet according to claim 7-8,  
**characterised in that**  
at least one of the flat surfaces is coated with a reactive flux retaining coating capable of providing joints in a brazing process, where the flat surface at least partially is coated with a flux retaining composition comprising a synthetic resin based, as its main constituent, on methacrylate homopolymer or a methacrylate copolymer.
10. Aluminium alloy sheet according to claims 7-9,  
**characterised in that**  
at least one of the flat surfaces is coated with a reactive flux or a normal flux to enable the sheet to be utilised as tube for clad fin in a heat exchanger.
11. Aluminium alloy sheet according to claims 7-9,  
**characterised in that**  
at least one of the flat surfaces is coated with Al-Si powders to enable the sheet to be utilised as header in a heat exchanger.



**Abstract**

The invention relates to a method and an aluminium alloy sheet material. The method of producing aluminium alloy sheet material comprising the following steps;

- continuous strip casting of a sheet at a predetermined solidification rate ensuring material microstructure exhibiting primary particles having average size below 1 micrometer<sup>2</sup>, and
- (cold) rolling of the strip cast sheet to an appropriate gauge with optionally intermediate annealing during the cold rolling.

It an object of the present invention to provide a novel method of production of Al-alloy sheets applicable on heat exchanger sheet based components resulting in improved pitting corrosion applying (base) Al-alloy material with higher Fe-content.



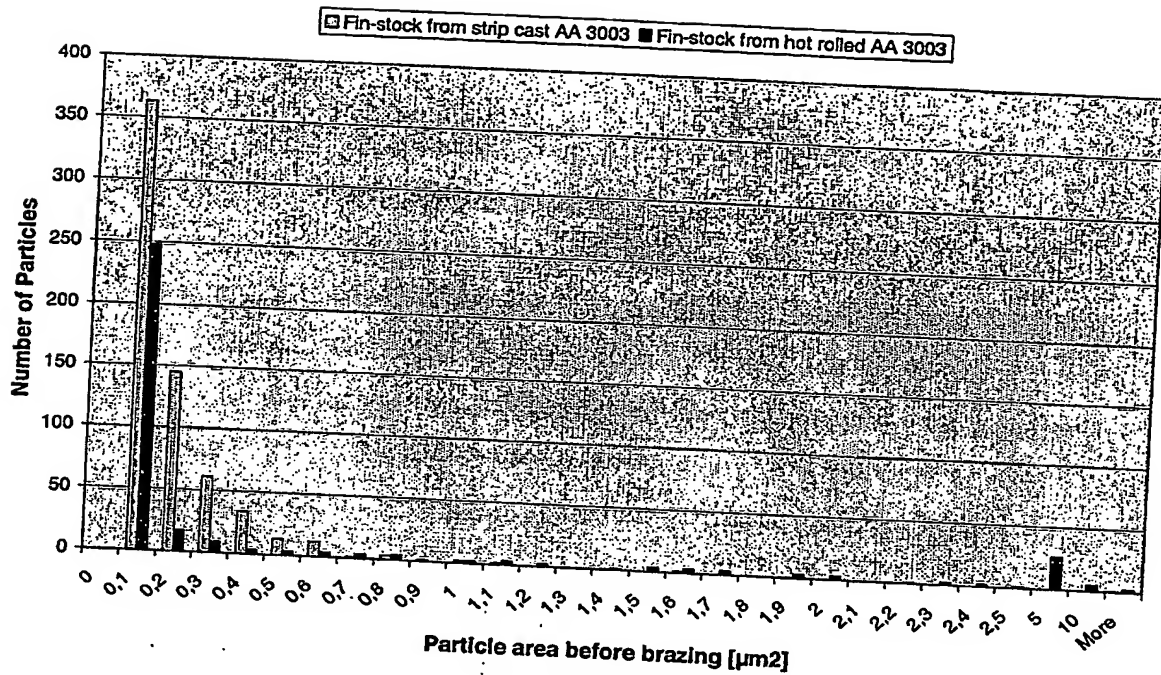


Figure 1

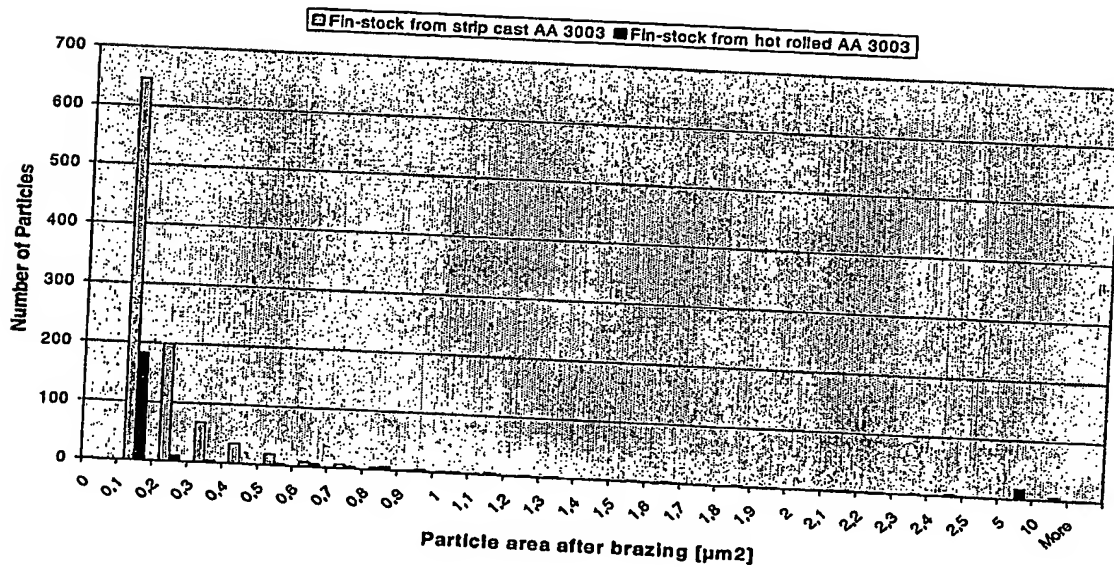
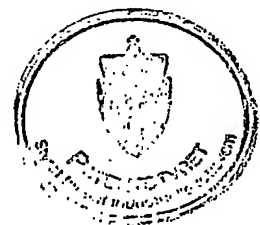


Figure 2



BEST AVAILABLE COPY

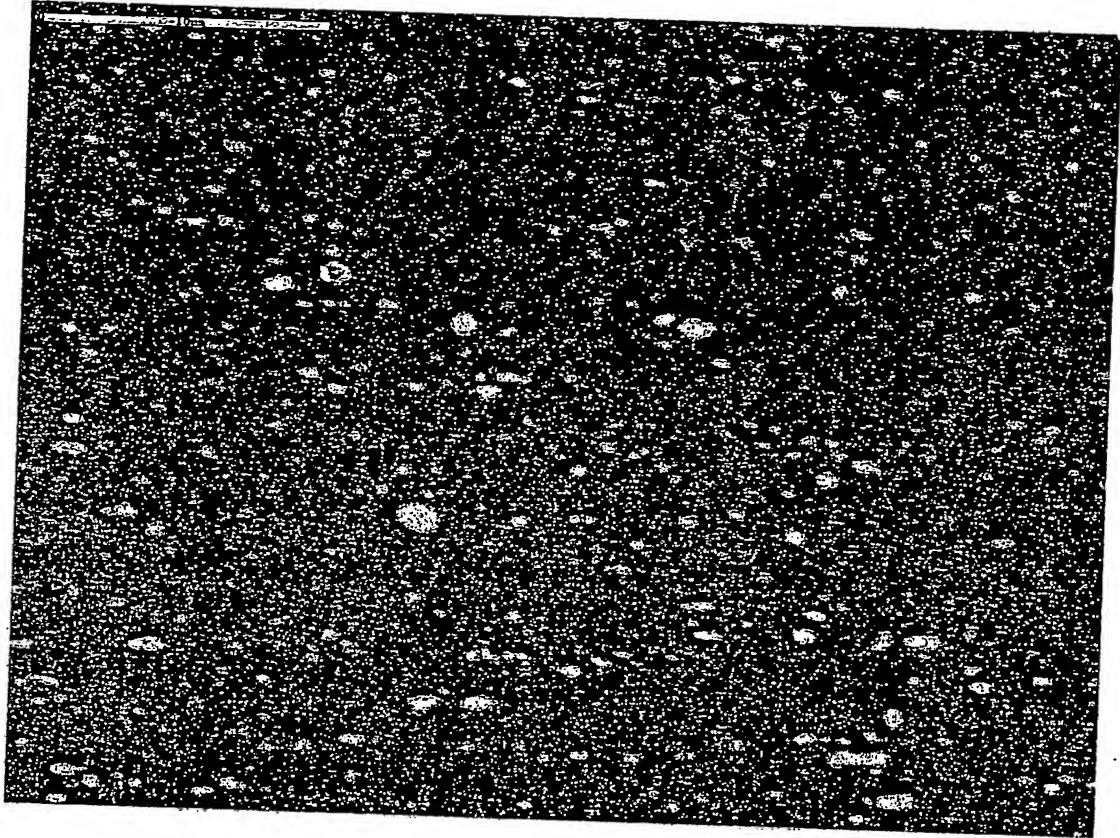


Figure 3



BEST AVAILABLE COPY



Figure 4



BEST AVAILABLE COPY

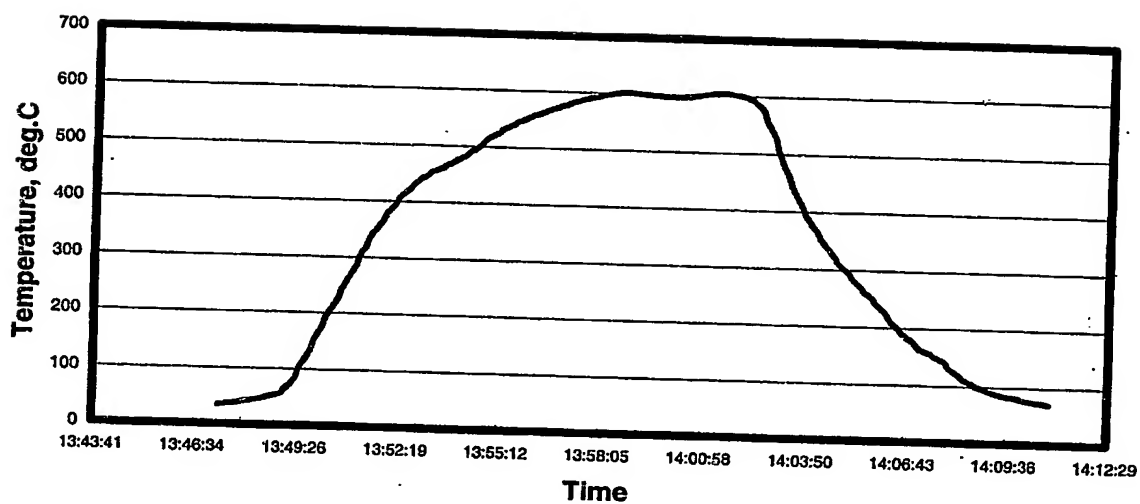


Figure 5

